



# UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231  
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/775,366	02/01/2001	Tongbi Jiang	303.706US1	8118

7590 06/05/2002

Schwegman, Lundberg, Woessner & Kluth, P.A.  
Attn: Danny J. Padys  
P.O. Box 2938  
Minneapolis, MN 55402

EXAMINER

PAREKH, NITIN

ART UNIT PAPER NUMBER

2811

DATE MAILED: 06/05/2002

Please find below and/or attached an Office communication concerning this application or proceeding.

# Office Action Summary

Application No.  
09/775,366

Applicant(s)  
Jiang et al

Examiner  
Nitin Parekh

Art Unit  
2811



-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

## Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136 (a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).
- Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

## Status

- 1) ☒ Responsive to communication(s) filed on Mar 11, 2002
- 2a) ☐ This action is FINAL. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11; 453 O.G. 213.

## Disposition of Claims

- 4) ☒ Claim(s) 1-52 and 108-154 is/are pending in the application.
- 4a) Of the above, claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-52 and 108-154 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claims \_\_\_\_\_ are subject to restriction and/or election requirement.

## Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
- 11) ☐ The proposed drawing correction filed on \_\_\_\_\_ is: a) ☐ approved b) ☐ disapproved by the Examiner.  
If approved, corrected drawings are required in reply to this Office action.
- 12) ☐ The oath or declaration is objected to by the Examiner.

## Priority under 35 U.S.C. §§ 119 and 120

- 13) ☐ Acknowledgement is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some\* c) ☐ None of:
- ☐ Certified copies of the priority documents have been received.
  - ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  - ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\*See the attached detailed Office action for a list of the certified copies not received.

- 14) ☐ Acknowledgement is made of a claim for domestic priority under 35 U.S.C. § 119(e).
- a) ☐ The translation of the foreign language provisional application has been received.
- 15) ☐ Acknowledgement is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121.

## Attachment(s)

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☐ Information Disclosure Statement(s) (PTO-1449) Paper No(s). \_\_\_\_\_
- 4) ☐ Interview Summary (PTO-413) Paper No(s). \_\_\_\_\_
- 5) ☐ Notice of Informal Patent Application (PTO-152)
- 6) ☐ Other: \_\_\_\_\_

Art Unit: 2811

## DETAILED ACTION

### *Claim Rejections - 35 USC § 103*

1. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

2. Claims 1-52 and 108-154 are rejected under 35 U.S.C. 103(a) as being unpatentable over the admitted prior art (APA) in view of Yamamoto et al (US Pat. 6265782), Penry (US Pat. 6049094), Satsu et al (US Pat. 6225418) and Narita (US Pat. 6144107).

Regarding claims 1-52, the APA discloses an integrated circuit (IC) package comprising:

- a substrate comprising conventional plastic/board/PCB, ceramic, glass, glass-epoxide, etc.
- a die, and
- a material having a low Young's modulus (YM) at a conventional/solder reflow temperature attaching the die to the substrate

(Fig. 1A/B; specification pp. 1-3).

Art Unit: 2811

The APA fails to specify using:

- a) the die comprising one or more memory, processor, logic, communication or application specific lcs, and
  - b) the material having YM value between 0.1-20 megapascals (Mpa) and a Shore A/D hardness of greater than 70/20 respectively or coefficient of thermal expansion (CTE) of less than 400 ppm/deg. C, and
- the material comprising polyepoxide formed from one or two epoxides, polyacrylate, polyolefin, polyimide, a mixture of at least two of the above components or a copolymer of at least two of the above components.

a) It is conventional in chip packaging and interconnection technology art to use IC die comprising memory, logic, optical sensor, flash memory, bipolar, processor, hybrid circuits to provide a variety of functions such as large scale integration (LSI), chip/circuit density, I/O connections per unit, speed, performance, etc. The cited references teach using such conventional IC dice in a package.

b) Yamamoto et al teach using an adhesive/die-attach material (1/3 in Fig. 1-8) comprising a variety of conventional resin/polymer based materials which include a component and a mixture/copolymer thereof including epoxy, phenol, bisphenol, acrylate, polyimide, polyether-imide, etc. providing the desired properties such as

Art Unit: 2811

elasticity/modulus, strength, tackiness, surface hardness, heat dissipation, etc (Col. 4-21).

Yamamoto et al further teach using a variety of compositions/formulations of such adhesives having YM value between 1-50 Mpa (Col. 3, line 34; examples in Tables 2 and 3; Col. 3-32) and having a wide range of molecular weight providing thermoplastic or thermosetting/rigid properties.

Penry teaches using conventional die attach material having Shore D hardness of about 80 (Col. 4, line 48).

Narita teaches using an adhesive material having Shore D hardness between 20-30 (Col. 6, line 38).

Satsu et al teaches using a variety of resin compositions for die attach/encapsulation (Fig. 4A/B; Col. 3-24) having a CTE values being less than 400 ppm/deg. C (Tables 1-3).

Furthermore, it is a matter of design choice to select the range of parameters such as YM, hardness, viscosity, thermal expansion coefficient (TEC), etc. and composition/formulation of the die attach material/encapsulant in chip packaging/encapsulation technology such that the desired thermal, mechanical and electrical properties are achieved.

Art Unit: 2811

Therefore, it would have been obvious to a person of ordinary skill in the art at the time invention was made to select elements a) -c) so that the defects related to elasticity/modulus and thermal expansion can be reduced and tackiness/adhesion can be improved using Yamamoto et al, Penry and Narita's die attach material/composition in the APA structure.

Regarding claims 108-154, as explained above for claims 1-52, the APA discloses using a ceramic/ceramics substrate but fails to specify using a single or multimetallayer ceramic (MLC).

It is conventional in the chip packaging technology and fabrication art to use substrates/boards made of plastic, ceramic, etc. in the form of multilayer structure.

Therefore, it would have been obvious to a person of ordinary skill in the art at the time invention was made to incorporate a single or multimetallayer ceramic to improve the thermal and mechanical properties using Yamamoto et al, Penry and Narita's die attach material in the APA.

Papers related to this application may be submitted directly to Art Unit 2811 by facsimile transmission. Papers should be faxed to Art Unit via Technology Center 2800 fax center located in Crystal Plaza 4, room 4C23. The faxing of such papers must

Art Unit: 2811

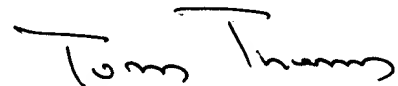
conform with the notice published in the Official Gazette, 1096 OG 30 (15 November 1989).

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Nitin Parekh whose telephone number in (703) 305-3410. The examiner can be normally reached on Monday-Friday from 08:30 am-5:00 pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Tom Thomas, can be reached on (703) 308-2772. The fax number for the organization where this application or proceeding is assigned is (703) 308-7722 or 7724.

Nitin Parekh

06-01-02



**TOM THOMAS**  
**SUPERVISORY PATENT EXAMINER**  
**TECHNOLOGY CENTER 2800**